

### DepartmentofScience andTechnology WISE-KIRAN Division

https://dst.gov.in/

### **WISE-SCOPE** Fellowship

Societal challenges refer to problems and issues that affect societies on a large scale, often spanning across multiple domain such as economic, environment, technology, health, energy, waste, water, management, agriculture and more.

The WISE SCOPE Fellowship program of the Department of Science & Technology(DST) aims to provide opportunity to Women Scientists and Technologists who have completed Ph.D. and want to utilize their S&T knowledge and expertise for the benefit of society. This program promotes projects that directly deal with challenges at grassroots level and address them through S&T interventions. Projects under WISE-SCOPE (WISE-Societal Challenges with Opportunities) should provide S&T solutions to our social problems, livelihood generation, drudgery reduction, health and nutrition related issues, management of natural resources, sustainable agriculture, climate change, disaster management etc.

Project proposal should include the development of viable technology/technique with possibility of lab-to-landtransfer, adaptation and scaling up for the benefit of society under following broad thematicareas.

#### **Broad Thematic Areas:**

- 1. Energy, Water and Waste Management (EWWM)
- 2. Engineering and Technology Development(ETD)
- 3. Environment, Climate and Sustainable Development (ECSD)
- 4. Agriculture and Allied Sciences (AAS)
- 5. Health, Food and Nutrition (HFN)

### **Eligibility:**

1. **Employment:** Candidates in regular employment are not eligible for WISE-SCOPE. However, women scientists who are temporarily employed in research or academics may apply in this program butthey have to leave earlier assignment, if, selected in WISE-SCOPE program.

(The positionofapplicantinanyproject, as Post-

Doctoral Fellow, Research Associate, etc. will be considered as temporary assignment.)

- 2. **Age:** The minimum age to apply is 27 years and maximum is 60 years as on the date of submission.
- 3. **Educational Qualification:** Women Scientists who have completed Ph.D. in Basic or Applied Sciences or having equivalent degree like MD/MS/MDS are eligible forWISE-SCOPE.

**FinancialSupport:** ThisProgramprovidesthebasic fellowshipoftheapplicant@Rs.55000/-a n d

HRA(as per norms) permonth, Research Grant of Rs. 2.0 Lakh per year, Field Demonstration etc. of Rs. 1.0 Lakh (for project tenure) and cost of small equipment (up to a maximum of Rs. 2.0 Lakh) along with Institutional overhead charges as per DSTnorms.

**Project Tenure:** Up to 3 years.

**Selection Process:** Criteria of selection will be on the basis of Preliminary screening of eligibility, and then further Applicant's credentials, identified societal challenge, Scientific methodology proposed, expected beneficiary on the ground by the outcome of the project, etc. and final selection would be made as per recommendations of the Subject Expert Committees (SECs). The final decision of DST will be communicated to the applicants only after obtaining due administrative and financial approvals.

**Howto apply**: The call is open for proposal submission under WISE-SCOPE at ePMS (<a href="https://onlinedst.gov.in">https://onlinedst.gov.in</a>). The proposal format isavailable at <a href="https://dst.gov.in">https://dst.gov.in</a>, <a href="https://onlinedst.gov.in">https://onlinedst.gov.in</a>.

**For detailed information,** Please go through Guidelines at <a href="https://dst.gov.in">https://dst.gov.in</a> and <a href="https://dst.gov.in">https://online-wosa.gov.in</a>. And for further clarification email at <a href="mailto:enquiry-wosdst@gov.in">enquiry-wosdst@gov.in</a>.

Last Dateof Submission: Proposal submission is open throughout the year.

### INSTRUCTIONS FOR FILLING ONLINE APPLICATION

- 1. Please refer detailed guidelines of the program at Annexure 7of this document.
- 2. Log on https://onlinedst.gov.in/ to access the home page of the "DST e-PMS Portal".
- 3. Before filling up the form candidates are advised to carefully go through the Relevant Advertisement published at the DST Website (<a href="www.dst.gov.in">www.dst.gov.in</a>) and also available under Schemes and Formats in the e-PMS Portal (<a href="https://onlinedst.gov.in/Projectproposalformat.aspx">https://onlinedst.gov.in/Projectproposalformat.aspx</a>).
- 4. To save your time and avoid data loss, please download the proposal format, fill in all the information required as per the format (Word and PDF) file (<u>max. size 5Mb</u>), and then keep it ready for the upload during submission of mandatory documents.
- 5. Please keep ready all documents required (listed in "please note" section) to be uploaded in the online application.
- 6. Click on "New PI Registration" and fill in the requisite details for New user registration. In the 'Personal Information' section, fill Department, Institute, and Institute Address as per the Host Institute identified for WISE-SCOPEFellowship project. Fill in the Designation as 'WISE-SCOPE Fellow'
- 7. After logging-in, click on the "Submit proposals" link, which would take you to a page seeking information like General information, Principal Investigator, Mentor detail, Suggested Referees (Those who are working and also experts in the proposed area), Financial Details, PFMS Details, Ongoing Project Details (if any), Programme details, then finally Submission. Fill in all the information sought against each menu, as applicable.
- 8. Please read subtopics under the Broad theme areas, given at Annexure 8.
- 9. Project detail under the Programme detail menu would require information about the category

- of the project. Please carefully choose the category of the project based on the objective and expected outcome of the proposed work. Need to mention about the specific challenge of the society which is getting addressed by this scientific proposal and also the target beneficiary which would be affected by its outcome.
- 10. In the 'Financial Details' section, enter the 'WISE-SCOPE Fellow' in description in each year of fellowship section of recurring budget section. The amount for each year calculated on the basis ofRs. 6,60,000/- per year (@Rs. 55,000/- per month). If claiming for HRA, fill in the amount on the basis of the applicable rate @ 9/18/27 percent (as per GOI norms for X, Y and Z class of city) of the fellowship amount. Research grant is @ Rs. 2,00,000/- per year. In case of field testing, demonstration, or knowledge dissemination of the technologythe max. limit of @ Rs. 1,00,000/- is allocated. Overheadwould be as per norms. Non Recurring grant is up to max. Rs. 2,00,000/- for small equipment. In case of request of more than one small equipment within the max limit, please fill names of each equipment with its cost in desired year. Quotation of each equipment would require to be uploaded as supporting document. Justification of all requirements (especially research grant, equipment etc.) should properly be filled.
- 11. If you have the PFMS Unique Agency Code of the Host Institute, fill it in the 'PFMS Details'; else, leave this section. It will require later during project amount release process, therefore keep this information ready later on accordingly, if not available now.
- 12. After filling in all the above particulars, preview all given details before the final submission of the application form by clicking on the "Preview" button. The preview page will display all facts/particulars that you have mentioned. If there is something that you wish to update, go back and correct the information or else proceed with the filled details by clicking on the "Submit" button to finally push data into the server.
- 13. Candidates are advised to carefully fill and verify the details filled in the online application themselves as no change will be possible/ entertained after clicking the FINAL SUBMIT BUTTON.

### LAST DATE FOR RECEIPT OF APPLICATIONS

The online Application portal is open throughout the year for proposal submission. For any enquiry, please contact <a href="mailto:enquiry-wosdst@gov.in">enquiry-wosdst@gov.in</a>

#### PLEASE NOTE

- 1. It is mandatory to submit the proposals only through <u>online mode</u>.
- 2. The PI is required to see the completeness of the application before it is submitted finally. The PI shall keep a copy of the submitted proposal for their reference. There is **no need to submit hard copy of the proposal at the DST.**
- 3. In case of more thanone proposals found submitted by the same PI, the last submitted proposal would be considered for evaluation.
- 4. Incomplete or wrongly filled-up application format or application with a lack of essential information/ documents will be summarily rejected.
- 5. Proposal submitted through e-mail will not be entertained. Application format is available at the online portal (<a href="https://onlinedst.gov.in/">https://online-wosa.gov.in/</a>)/ given on the website (<a href="https://dst.gov.in/">https://online-wosa.gov.in/</a>)/ given on the website (<a href="https://dst.gov.in/">https://online-wosa.gov.in/</a>)/ given on the website summarily be rejected without any further processing as per programme norms.

### Mandatory Documents to be uploaded with the Online Application:

- a. CV of Mentor (pdf max 500KB)
- b. Conflict of Interest Policy Document (pdf max 800kb)
- c. Endorsement from Head of the Institute (pdf max 800kb)
- d. Complete Project Proposal (pdf and word both max 5 mb each)
- e. Highest Degree Certificate (pdf 800kb)
- f. Consent Letter from Mentor (pdf max 800kb)
- g. Undertaking (pdf max 800kb)
- h. HRA declaration certificate (pdf max 800kb)
- i. Date of Birth Proof (pdf max 800kb)

Please note that an incomplete Proposal/ Proposal not in the prescribed Format would summarily be rejected and no correspondence in such cases would be made in this respect. The name of the successful candidates shall be displayed on the Website after the completion of the evaluation process.



### Ministry of Science and Technology Department of Science and Technology

# Prescribed Format of Application for WISE-SCOPE Fellowship

### Theme Area Codes

1.Energy, Water and Waste Management	<b>EWWM</b>
2. Engineering and Technology Development	ETD
3. Environment, Climate and Sustainable Development	<b>ECSD</b>
4. Agriculture and Allied Sciences	AAS
5. Health, Food and Nutrition	HFN

#### **DECLARATION BY THE CANDIDATE**

I hereby certify that the information filled on each page of this application is true and correct in all respects. I understand that if any information provided found incorrect at any point of time during the process of evaluation or even during the project tenure, my application/Project can summarily be rejected/terminated.

	(Signature of Applican	nt)
Date:		
Place:		



# Government of India Ministry of Science and Technology Department of Science and Technology WISE-SCOPE Fellowship

Application Format https://dst.gov.in/

1. Pe	ersonal Details		
1.1	Name of Project/ Principal Investigator		
1.2	Father/Mother Name		
1.3	Category		
1.4	Date of Birth (DD/MM/YYYY)		
1.5	Marital Status		
1.6	Husband Name (if married)		
1.7	Telephone (o)		
1.8	Telephone (R)		
1.9	Fax		
1.10	Mobile		
1.11	Email		
1.12	Corresponding Address		
1.13	City		
1.14	Pin		
1.15	State		
1.16	Employment Status		
1.17	Break in Career (if any)	From	То
1.18	Reason of break		

2. Ac	cademic Qualification											
2.1	Educational Qualification (12 <sup>th</sup> Onwards)	Degree Year		of Completion		University/B oard/ Institute		3 Subjects		tag Ma	cen e of rks/ SPA	
2.2	Highest Qualification											
2.3	Subject Area											
2.4	Year of completion											
2.5	Thesis Title											
2.6	Guide Name, Designation, Address											
2.7	Last three Years Employment details in Academics/ Research (if any)	SI. Designation No.		) —	Employer's Name and Address	From (Date)		To (Date)		Job Description		
2.8	Total number of Publications											
2.9	References and detail of significant (most cited) five publications (if any)	Auth	ors	Year	Т	itle of Paper	Journal Name	Imp Fac		Pa	ige	V
2.10	Suggest three Referees detail working in the proposed area	Nam	e			Designation		At	filiation			
3.	Project Detail											
3.1	Title											
3.2	Theme Area											
3.3	Specific Challenge of the society being addressed with the project											
3.4	Objective											
3.5	Project summary (within 500 words)											
3.6	Key words											
3.7	Name of the Proposed Host Institute											

3.8	Department Name				
3.9	Address				
3.10	City				
3.11	Pin				
3.12	State				
3.13	Review of proposed work				
3.14	Methodology				
3.15	Work Plan to achieve the objective	Year 1		Year 2	Year 3
3.16	Expected Deliverables of the project				
3.17	Societal Aspect of Proposed Work				
3.18	Proposed Scientific Intervention to address the problem				
3.19	Beneficiary Population				
3.20	Category of Project Proposal	(Please fill approp	oriate ca	ategory. It may be on	e or more than one)
	i) Development of the Technology/Process/Pro duct and Transfer	Yes/No	Expla	in How (not more tha	an 200 words)
	ii) Available Technology to be Validation and adaptation	Yes/No	Expla	in How (not more tha	an 200 words)
	iii) Technology and the knowledge dissemination among community	Yes/No	Expla	in How (not more tha	an 200 words)
	iv) Adaptation of the technology by the society	Yes/No	Expla	in How (not more tha	an 200 words)
3.21	Possibility of scaling up? (Yes/No)	(if yes, please give	e plan)		
3.22	Technology Readiness Level (TRL)				
3.23	Details of required field work (if any)				
4. Ho	ost Institution and Mentor's D	etail			
4.1	Name of Host Institution				
4.2	Type of Institution				
4.3	Address				
4.4	City				

4.5	Pin								
4.6	State								
4.7	PFMS Unique Code of Host Institute								
4.8	Name of Mentor								
4.9	Designation								
4.10	Department								
4.11	Contact details (Email & phone)								
4.12	Specialization area								
4.13	The most cited last 10 significant publication details which also had societal	Authors	Year	Title	Journal Name	Impact Factor	Pag	Э	V ol
	relevance (if any)								
5.									
5.1	NON-RECURRING	Year 1(₹)		Year 2(	₹)	Year 3(₹)		Total (₹)	
	i. Equipment								
5.2	RECURRING								
	i. Fellowship								
	ii. HRA								
	iii. Research Grant								
	iv. Field Demonstration								
	v. Overhead								
5.3	Justifications of each		Į.		U.				
	requirement								
6.	Documents to be provided	as attachn	nent wit	h applic	ation (all	documents	s are n	nandatory)	
6.1	CV of Mentor								
6.2	Conflict of Interest Policy								
	Document								
6.3	Endorsement from Head of the Institute								
6.4	Highest Degree Certificate								
6.5	Consent Letter from								
5.5	Mentor								
6.6	Undertaking								
6.7	HRA declaration certificate								
6.8	Date of Birth Proof								

**NOTE**: Each information requested in application form is mandatory to befilled. Any missing information may lead for rejection of application. If the applicant is not applicable or not having information against any point, may write NA or NIL appropriately. Any Incorrect information provided would lead the project summarily rejected and no further communication will be entertained on this matter in future.

## DEPARTMENT OF SCIENCE AND TECHNOLOGYPOLICYONCONFLICTOFINTEREST

### FOR REVIEWER & COMMITTEE MEMBER or APPLICANT or DST OFFICERASSOCIATED/ DEALINGWITH THESCHEME/PROGRAMOF DST

Issues of Conflicts of Interest and ethics in scientific research and research management have assumedgreater prominence, given the larger share of Government funding in the country's R & D scenario. Thefollowing policy pertaining to general aspects of Conflicts of Interest and code of ethics, are objectivemeasures that is intended to protect the integrity of the decision making processes and minimize biasness. The policy aims to sustain transparency, increase accountability in funding mechanisms and provideassurance to the general public that processes followed in award of grants are fair and non-discriminatory. The Policy aims to avoid all forms of bias by following a system that is fair, transparent and free from allinfluence/ unprejudiced dealings, prior to, during and subsequent to the currency of the programme to beentered into with a view to enable public to abstain from bribing or any corrupt practice in order to securethe award by providing assurance to them that their competitors will also refrain from bribing and othercorrupt practice and the decision makers will commit to prevent corruption, in any form, by their officialsby following transparent procedures. This will also ensure a global acceptance of the decision makingprocessadopted byDST.

### **<u>DefinitionofConflictofInterest</u>**:

Conflict of Interest means "any interest which could significantly prejudice an individual's objectivity in the decision making process, thereby creating an unfair competitive advantage for the individual or to theorganization which he/she represents". The Conflict of Interest also encompasses situations where an individual, in contravention to the accepted norms and ethics, could exploit his/her obligatory duties for personal benefits.

### 1. Coverage of the Policy:

- a) The provisions of the policy shall be followed by persons applying for and receiving funding from DST, Reviewers of the proposal and Members of Expert Committees and Programme Advisory Committees. The provisions of the policy will also be applicable on all individuals including Officers of DST connected directly or indirectly orthrough intermediaries and Committees involved in evaluation of proposals and subsequent decision making process.
- b) This policy aims to minimize aspects that may constitute actual Conflict of Interests, apparentConflictofInterestsandpotentialConflictofInterestsinthefundingmechanismsthatarepresentlybeingoper atedbyDST.Thepolicyalsoaimstocover,althoughnotlimitedto,Conflictofintereststhat are Financial (gains from the outcomes of the proposal or award), Personal (association ofrelative/Familymembers)andInstitutional(Colleagues,Collaborators,Employer,personsassociatedin aprofessionalcareerof anindividualsuch as Ph.D.supervisoretc.)

### ${\bf 2.} \ \ \underline{\bf Specifications as towh at constitutes Conflict of Interest}.$

Anyofthefollowingspecifications(non-exhaustivelist)implyConflictofInterestif,

- (i) Due to any reason by which the Reviewer/Committee Member cannot deliver fair and objective assessment of the proposal.
- (ii) The applicantisa directly relative # or family member (including but not limited to spouse, child, sibling, parent) or personal friend of the individual involved in the decision making process or alternatively, if any relative of an Officer directly involved in any decision making process/has influenced interest/stake in the applicant's formetc.

- (iii) Theapplicantforthegrant/awardisanemployeeoremployerofanindividualinvolvedintheprocessasaReviewerorCo mmitteeMember;oriftheapplicanttothegrant/awardhashadanemployeremployeerelationshipinthepastthreeyears withthatindividual.
- (iv) The applicant to the grant/award belongs to the same Department as that of the Reviewer/Committee Member.
- (v) The Reviewer/Committee Member is a Head of an Organization from where the applicantisemployed.
- (vi) The Reviewer /Committee Member is or was, associated in the professional career of theapplicant(such asPh.D.supervisor, Mentor,presentCollaboratoretc.)
- (vii) The Reviewer/Committee Member is involved in the preparation of the research proposalsubmitted by the applicant.
- (viii) Theapplicanthasjointresearchpublications with the Reviewer/Committee Member in the last three years.
- (ix) The applicant/Reviewer/Committee Member, in contravention to the accepted norms andethicsfollowedinscientificresearchhasadirect/indirectfinancialinterestintheoutcomesofthe proposal.
- (x) TheReviewer/CommitteeMemberstandstogainpersonallyshouldthesubmittedproposalbeaccepted or rejected.

#TheTerm"Relative"forthispurposewouldbereferredinsection6ofCompaniesAct,1956.

### 3. Regulation:

The DST shall strive to avoid conflict of interest in its funding mechanisms to the maximum extentpossible. Self-regulatory mode is however recommended for stake holders involved in scientificresearch and research management, on issues pertaining to Conflict of Interest and scientific

ethics. Any disclosure pertaining to the same must be made voluntarily by the applicant/Reviewer/Committee Member.

### 4. Confidentiality:

The Reviewers and the Members of the Committee shalls a feguard the confidentiality of all discussions and decisions taken during the process and shall refrain from discussing the same with any applicant or a third party, unless the Committee recommends otherwise and records for doing so.

### 5. CodeofConduct

### 5.1 TobefollowedbyReviewers/CommitteeMembers:

- (a) Allreviewersshallsubmitaconflictofintereststatement, declaring the presence or absence of any form of conflictofinterest.
- (b) Thereviewersshallrefrainfromevaluatingtheproposalsiftheconflictofinterestisestablishedorifitis apparent.
- (c) All discussions and decisions pertaining to conflict of interests hall be recorded in the minutes of the meeting.
- (d) The Chairman of the Committee shall decide on all aspects pertaining to conflict of interests.
- (e) The Chairman of the Committee shall request that all members disclose if they have any conflictofinterestin theitems of the agenda scheduled for discussion.
- (f) TheCommitteeMembersshallrefrainfromparticipatinginthedecisionmakingprocessandleavetheroomwithrespect tothespecificitemwheretheconflictofinterestisestablishedorisapparent.
- (g) If the Chairman himself/herself has conflict of interest, the Committee may choose a Chairmanfrom among the remaining members, and the decision shall be made in consultation with MemberSecretaryof the Committee.
- (h) It is expected that a Committee member including the Chair-person will not seek funding from aCommittee in which he/she is a member. If any member applies for grant, such proposals will be evaluated separately outside the Committee in which he/she is amember.

### 5.2 Tobefollowedbythe Applicantto the Grant/Award:

(a) The applicant must refrain from suggesting referees with potential Conflict of Interest that may arise due to the factors mentioned in the specifications described above in Point No. 2.

(b) The applicant may mention then a mesofindividual stown om the submitted proposal should not be sent for refereeing, clearly indicating the reasons for the same

### 5.3 <u>Tobefollowedbythe OfficersdealingwithProgramsinDST:</u>

(c) While it is mandatory for the program officers to maintain confidentiality as detailed in point no. 6above,theyshoulddeclare,inadvance,iftheyaredealingwithgrantapplicationsofarelativeorfamilymember (including but not limited to spouse, child, sibling, parent) or thesis/ post-doctoral mentor orstands to benefit financially if the applicant proposal is funded. In such cases, DST will allot the grantapplications to the other programofficer.

### 6. Sanctionforviolation

### 6.1 Fora) Reviewers/ CommitteeMembers andb) Applicant

Anybreach of the code of conduct will invite action as decided by the Committee.

### 6.2 ForOfficers dealingwithProgramin DST

AnybreachofthecodeofconductwillinviteactionunderpresentprovisionofCCS(conductRules),1964.

### 7. Final Appellateauthority:

Secretary, DST shall be the appellate authority in issues pertaining to conflict of interest and issuesconcerning the decision making process. The decision of Secretary, DST in these issues shall be final and binding.

### 8. <u>Declaration</u>

I have read the above "Policy on Conflict of Interest" of the DST applicable to the Reviewer/Committee Member/ Applicant/ DST Scheme or Program Officer # and agree to abide byprovisionsthereof.

I hereby declare that I have no conflict of interest of any form pertaining to the proposed grant \* Iherebydeclare that Ihaveconflictofinterest of anyformpertaining to the proposed grant \*

[\*&# (Tickwhicheveris applicable on point 8)]

### NameoftheReviewer/CommitteeMemberorApplicantorDSTOfficer

(Strikeout whicheveris not applicable

(Signature of PIwith date)

### **CERTIFICATION/ENDORSEMENT BY THE HEAD OF THE INSTITUTE**

i)	Drthe Principal Investigator of the project entitled
	will assume full responsibility for
	implementing the project.
ii)	The date of appointment starts from the date on which the University/Institute receives the first sanction grant from the Department of Science and Technology.
iii)	The investigator will be governed by the rules and regulations of the University/ Institute for the duration of the project.
iv)	The University/ Institute will provide basic infrastructure and other required facilities to the Investigator for undertaking the research project.
v)	The University/ Institute will take into its books all assets received under this sanction and its disposal would be at the discretion of the Department of Science and Technology.
vi)	The research grant by the Department of Science and Technology will be used to meet the expenditure on the project and for the period for which the project has been sanctioned as indicated in the sanction order.
vii)	The audited statement of accounts, utilization certificates and other reports and documents as required under the scheme will be submitted to the department.
	Signature and Stamp of the Head of the Institution

Date:

### **Annexure 4**

(Signature with Stamp of Mentor)

### **Consent Letter from Mentor of WISE-SCOPE Fellowship Project**

I hereby de	clare my consent to	act as mentor	for Dr		towards WISE-
SCOPE	Fellowship	project	entitled		
					facilities available in my
laboratory of	during the aboveme	entioned projec	et tenure. I will	ensure timely	submission of duly signed
project tech	nnical reports and fi	nancial reports	s by Dr		to DST. Outcome, if any
from the afo	orementioned projec	t will be gratef	fully acknowled	ged and also int	imate to DST in reports.
Thank you					
·					
					Yours Sincerely

(Signature and Stamp of Mentor)

### **Undertaking**

I,Dr	Daughter/Wife of Shri
	g athave
been	offered a project entitled "
	"under WISE-SCOPE Fellowshipfor research
workf	or society byDepartmentofScience&Technology, New Delhi . I accept the said project as
'Princi	ipal Investigator' and undertakethat:
1.	During the entire tenureof the WISE-SCOPE Project, I shall abide by the rules and regulations of the DST.
	Any change in rules and regulations by the DSTin future will beapplicable tome during this project
	implementation.
2.	As a recipient of the DST's WISE-SCOPEProject, I shall also abide bythe rules, discipline of the
	institution where I have been given the facility ofwork.
3.	I shall devote full time to project work during the tenure of the Project and willnottake any other
	assignment or job without prior permission of DST.
4.	I shall complete the project work undertaken byme and submit adetailed consolidated report of project
	work through the competent authorities on completion of the WISE-SCOPEFellowship Project.
5.	I shall not leave the Projectbefore its completion without prior written approval of the DST.
6.	I also hereby declare that if the outcome of project can be exploited commercially by taking IPRs or
	otherwise commercial exploitation, the same shall be communicated to the DST.
7.	I have gone through detailed guidelines of WISE-SCOPE Fellowship Project implementation. I have
	clearly understood and have acceptedall the Terms & Conditions in fulleffect.
	Signature of PI:
	Address:
Datas	
Date:	

(Signature and Stamp of Head of Institute)

### Annexure 6

### **Declaration for House Rent Allowance**

ThisistocertifythatI,Dr. amelig	giblefor HRA asneitherI	normy spouse islivin	ıginGovernment A	ccommodation.

The HRA rate asper GoInorms based on class of cities is (please tick appropriate):

1	ClassX (27%)	[	]
2	ClassY (18%)	[	]
3	ClassZ(9%)	[	]
4	Not Applicable	[	]

Signature of PI

**Signature of Mentorwithstamp** 

Head of the Institution (Signature with Stamp)

### **GuidelinesforProject Implementation**

### under

(WISE-SCOPE) Fellowship

### Women in Science and Engineering -Societal Challenges with Opportunities Fellowship

(A Program of WISE-KIRAN Division)



GOVERNMENT OF INDIA MINISTRYOFSCIENCEANDTECHNOLOGY DEPARTMENT OF SCIENCE AND TECHNOLOGY TECHNOLOGYBHAVAN, NEWMEHRAULIROAD

### **NEWDELHI-110016.**

### INTRODUCTION

Thisdocumentprovides guidelines for implementation of projects under 'WISE-SCOPE's upported through 'Women in Science and Engineering-KIRAN(WISE-KIRAN)'Division, Department of Science and Technology (DST).

These are general guidelines needed in an ideal situation. In addition to these, the implementing agency has to follow its own rules and regulations, where vernecessary for a smooth implementation of project.

Forfurtherdetails, please contact the concerned officials dealing with the programme.

### The Department reserves the right to review the seguidelines and modify them.

**HeadoftheWISE-KIRANDivision:** 

NewDelhi-110016

Dr.NishaMendiratta
AdviserandScientistG
DepartmentofScienceandTechnology
TechnologyBhavan
NewDelhi-110016

Incaseof anyspecific clarification,pleasecontact
Dr.ChhamaAwasthi
ScientistD
WISE-KIRANDivision
DepartmentofScienceandTechnology
TechnologyBhavan

**e-mail:**enquiry-wosdst@gov.in **Telephone:**011-26534166

### **GuidelinestoApplyinWISE-SCOPE Fellowship**

Societal challenges refer to problems and issues that affect societies on a large scale, often spanning across multiple domain such as economic, environment, technology, health, energy, waste, water, management, agriculture and more. These challenges typically require collaborative efforts and innovative solutions to address and mitigate their impact. When scientists, identify and tackle societal challenges by providing innovative and scientific solutions, they often uncover new possibilities for economic growth, social development, environmental sustainability, employment generation, entrepreneurships, enterprise opportunities etc..

The WISE SCOPE Fellowship program of the Department of Science & Technology(DST) aims to provide opportunity to Women Scientists and Technologists who have completed Ph.D. and want to utilize their S&T knowledge and expertise for the benefit of society. This program promotes projects that directly deal with challenges at grassroots level and address them through S&T interventions. Projects under WISE-SCOPE (WISE-Societal Challenges with Opportunities) should provide S&T solutions to our social problems, livelihood generation, drudgery reduction, health and nutrition related issues, management of natural resources, sustainable agriculture, climate change, disaster management etc.. Project proposal should include the development of viable technology/technique with possibility of lab-to-landtransfer, adaptation and scaling up for the benefit of society under following broad thematicareas.

### **Broad Thematic Areas:**

- 1. Energy, Water and Waste Management (EWWM)
- 2. Engineering and Technology Development(ETD)
- 3. Environment, Climate and Sustainable Development (ECSD)
- 4. Agriculture and Allied Sciences (AAS)
- 5. Health, Food and Nutrition (HFN)

Subtopics under these broad areas can be seen in Annexure 8. Division may announce the special call on any other specialized area which benefit society if required.

### **Eligibility:**

4. **Employment:** Candidates in regular employment are not eligible for WISE-SCOPE. However, women scientists who are temporarily employed in research or academics may apply in this program butthey have to leave earlier assignment, if, selected in WISE-SCOPE program.

(The positionofapplicantinanyproject, as Post-DoctoralFellow,ResearchAssociate,etc.willbeconsideredastemporaryassignment.)

- 5. **Age:** The minimum age to apply is 27 years and maximum is 60 years as on the date of submission.
- 6. **Educational Qualification:** Women Scientists who have completed Ph.D. in Basic or Applied Sciences or having equivalent degree like MD/MS/MDS are eligible forWISE-SCOPE.

**FinancialSupport:** ThisProgramprovidesthe basic fellowshipoftheapplicant@Rs.55000/- a n d H R A (a s per norms) permonth, Research Grant of Rs. 2.0 Lakh per year, Field Demonstration etc. of Rs. 1.0 Lakh (for project tenure) and cost of small equipment (up to a maximum of Rs. 2.0 Lakh) along with Institutional overhead charges as per DSTnorms..

**Project Tenure:** Up to 3 years.

When to apply: The call for submission of new proposal under WISE-SCOPE will be opened throughout the year and details can be seen at DST website <a href="https://www.dst.gov.in">www.dst.gov.in</a>.

Where to apply: The proposals should be submitted at DST portal "https://onlinedst.gov.in/".

NOTE: With the outcome of the Fellowship project, the program encourages the employment generation, scale up the technology, entrepreneurship creation, local level enterprise creation etc., however there is no provision of any additional financial support for the same.

### **GENERALGUIDELINESANDINSTRUCTIONSFORPROJECTIMPLEMENTATION**

### A. SanctionOrder:

- i) Once the project is technically approved, a formal sanction order is issued as per the recommendations of the Subject Expert Committee (SEC) and on the basis of latest quotations of approved equipment. The first sanction order provides the detailed breakup of funds allocated under non-recurring grant head like equipment and recurring grantheads like fellowship, research grant and overhead.
- ii) Any correspondence with the Department regarding the project should invariably quote the Sanction Order No., date to the concerned official by name.

### **B.** DateofCommencementofProject&itsDuration:

- i) The duration of the project isgenerally up to 3 years and this isspecified in the firstsanction order. However, the duration may be less as perther ecommendation of the SEC.
- the implementing Institution. This date should be intimated by the Institution authorities/ Principal Investigator to this Department. It will, in no case be later than one month after the receipt of the grant by the funding agency.

### C. PrincipalInvestigator&ScientistMentor:

- i) The Principal Investigator (PI) will be primarily responsible for the implementation of the project. It is necessary to ensure that the project is carried out by the project team in acohesive manner. The project team consists of the PI and the Scientist Mentor as per the sanctionorder.
- ii) Mentor should be from the same institution where from the project isbeing implemented.
- iii) Husbandorcloserelativescannotbementorofthe project.
- iv) Women Scientist i.e PI of the project is to be treated as temporary employee and will begoverned by the administrative rules/ service conditions of the implementing Institute.NoreferenceontheseissuesbemadetothisDepartment. <u>The Department will have no liability, what to over, for the PI after completion of the project duration.</u>
- v) PIhastoreporttothedutytotheScientistMentorasperdutyhours ofhostinstitute.
- vi) As per DST's new Scientific Social Responsibility Guidelines, the ethical obligation ofknowledge workers in all fields of Science and Technology to voluntarily contribute theirknowledge and resources to the wildest spectrum of stakeholders in Society, in a spirit ofservice and conscious reciprocity. In this regard the women scientist is required to use and disseminate Scientific Knowledge and associated skills to different sections of society for atleast 30 days. PI may engage in SSR activities eg. Student internship, training/workshops,individuallectures,populararticles,reportsinnewspapers,webarticles,etc.Forfurthe

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- vii) Apart from the project related activities PI may also carry out academic duties as and whenassigned by the host institute. However, the additional tasks should not hamper the projectwork.
- viii) PIwill maintainlogbook/registerfor allchemicals, glassware, equipmentandtraveldetails.
- ix) Everyprogressreport hastobecertifiedbytheScientistmentor.
- x) PrincipalInvestigator(PI)shouldacknowledge"WISE-SCOPE Fellowship research grant"ofWISE-KIRANDivision,DSTinallpublicationsemergingout of this particular project.
- For example, "author acknowledge Department of Science & Technology, Government ofIndiaforfinancialsupportvidereferenceno.......underWISE-SCOPE Fellowshiptocarryoutthiswork".

Plisalsoadvisedtobeeither'first'or'corresponding'authorinallpublications.

- xi) TheFellowshipisscientist-specificandcannotbetransferred.
- xii) Apartfromthe Fellowship and HRA, no other allowances such as PF,LTA, medicalallowance, etc. will be permissible. *No liability on any of these accounts will be borne byDST*.
- xiii) Thefellowshipamountistaxable.

### D. The Implementing Institution:

- The host institution has an important role to play and in consultation with this Departmenttake steps
  to ensure successful completion of the project. The host institution may
  playproactiveroletoexpediteprocessingoffinancialandscientificdocumentsofwomenscientistsforearly
  releaseofgrant.
- ii) Host Institute will maintain the attendance register of PI. This information will be madeavailabletoDSTasandwhenrequired.
- iii) In case, the PI is shifting to another institution on new appointment, the project could betransferred to that institution with the mutual consent of both the institutions and of thisDepartment. Such requests for transfer of the project should be sent well in advance and should be accompanied with 'No Objection' certificates from both the institutions, the Endorsement Certificate from the new Institution and consentle terfrom the new mentor.
- iv) In case the PI leaves the project due to unforeseen circumstances, the host institute has toreturn the unspent balance along with the Utilization Certificate (UC) & Statement ofExpenditure(SE)anddetailsofequipmentprocuredundertheprojectalongwithequipmentretentionlette
- v) The PI as well as the implementing institution has the responsibility of informing thisDepartment about any change in the status of the PI/Scientist Mentor including relieving them on short term deputation for a continuous period of 3 months or more.
- vi) TheimplementingInstituteshouldprovidefullinfrastructuralfacilitiessuchasaccommodation, water, electricity, communication facilities etc. for smooth implementationoftheproject.

vii) Dueacknowledgementoftechnicalsupport/financialassistanceresultingfromthisprojectgrantshouldm andatorilybehighlightedbythegranteeorganizationinboldletters in all publication / media release as well as in the opening paragraphs of their AnnualReportsduringandafterthecompletionoftheproject.

#### E. Leave:

- i) Having accepted the responsibility for the implementation of the project, the PI should becommitted to implement the project over its duration and should have no plans to go onlongleaveespecially after joining the project duty.
- ii) During the Fellowship duration, the candidate should not be away from the project for aperiod of more than 15 days in continuation and 30 days total without the prior consent of DST. PI has to take permission of host institute to participate in scientific assignmentabroad, conference, etc. and then submit all the detailed documents to DST for final approval on said purpose.
- iii) All kinds of leaves e.g. casual leave, maternity leave etc. will be entertained as per rules ofhostinstitution.
- iv) The project stands terminated in the absence of the PI for a continuous period of 3 monthswithoutintimation to this Department.
- v) ParticipationinanyscientificeventinIndiaorabroadshallbetreatedasonduty.

### F. ChangeofHostInstitution:

- i) The project can be transferred only once in whole duration of project. However, the transferofprojectisnotallowedinfirstsixmonthsandinlastyearoftheproject.
- ii) The project transfer is not encouraged, within same city and also due to change of mentor's affiliation.
- iii) In case of transfer, the project account at previous host institute has to be settled in PFMS.Further due to tedious process of transfer, PI should implement project at new host instituteafterreceiptofSanctionOrderonly.

### G. MentorChange:

i) The change of mentor is not encouraged as it has several administrative issues. However, incertain conditions e.g. superannuation, etc. the request of change of scientist-mentor can beplaced to DST with NOC of present mentor and acceptance of new mentor for its finalpermission. Inanycasementor cannot be changed without DST's approval.

### **H.** ExtensionofProjectDuration:

i) The project is not encouraged for extension but in certain cases can be extended for maximum duration of six months without any additional cost, in case to case basis. Fellowship will also NOT be given for extended duration.

### I. Releaseofgrantsinyearlyinstallmentandfinancialmanagement:

i) After technical approval from SEC, approval letter will be issued. The PI has to submitrelevant documents, like Bank Account details of Host Institute for 1817 scheme, HRA declaration

- letter,Mentordetailsetc.(formatsavailableinDSTWISE-portal,https://online-wosa.gov.in/wosb/) for release of grants. The project approval shall stand cancelled if thesought documents are not furnished within 3 months from the date of issue of approvalletter.
- ii) The first sanction order indicates the budgetary allocation for the duration of the projectunder various heads like Equipment, Fellowship, Research Grant, Field Demonstration Charges, Overhead etc.. The firstinstallment consists of the entire grant for the equipment and recurring grant for the firstyearoftheproject.
- iii) The equipment sanctioned in the projectshouldbe procured at theearliest toavoid anycostescalationandshouldnotexceed more thantwoconsecutive financialyears. The equipment cannot be procured in third financial year of the project. The PI and the implementing institute (IA) should complete all the formalities in advance for placing the order.
- iv) Goods (consumables/equipment) available in GEM portal are to be procured mandatorilythroughGEMonlyaspertheprovisionsofRule149ofGFR.
- v) Insubsequentreleases,theprojectgrantistobereleasedonthebasisofyearlyrequirementstakingnoteofthet echnicalprogressandexpenditureincurred.
- vi) Funds flow shall take place through the Central Nodal Agency (CNA), for which a Zero-Balance Subsidiary Account has to be opened at the Institute level. WISE-SCOPE comes under the umbrella of scheme number 1817, for which CNA is Technology Development Board (TDB).
- vii) Any unspent amount sanctioned would be returned to CNA account of the scheme and the receipt of the same may be shared with the Division. Any carry forward of funds to the next financial year may be considered only with the specific approval of the Department on receipt of proper financial documents/Interim progress report from the university/college. Interest earned on the DST grants may be deposited in BharatKosh (www.Bharatkosh.gov.in) and receipts of the same be shared with the Programme Division.
- viii) The Host institution is required to return all the unspent balances of all the programmes of DST under the "1817" scheme to CNA before receiving any fresh grant from the scheme- S&T Institutional & Human Capacity Building (no. 1817) of DST. This is a pre-requisite for receiving any fresh grant form any of the Central Sector Schemes.
- ix) In the new system of Fund transfer, host institutions will open a Zero Balance Subsidiary Account (ZBSA) in the designated bank and receive assignment of the approved amount against the CNA account, and no physical money will be transferred to the bank account of the grantee. The host Institution is directed to use the Expenditure-Advance-Transfer (EAT) module of PFMS for making any further releases against CNA assignment. For any clarity on new mechanism of fund release, host institute may contact the designated Nodal Officer of the umbrella Scheme.
- x) Diversionoffundsfromnon-recurringheadi.e.EquipmenttorecurringheadlikeFellowship, Research grant etc. and vice versa is NOT allowed. However, reallocation/ reappropriationofrecurringgrantsunderdifferentheadsrequirepriorapprovalofthisDepartment.
- xi) However, any request for release of the next installment should be accompanied by the following documents:
- a) Scanned copies of Utilization Certificate (UC) and Statement of Expenditure (SoE)

- fortheprevious financial year;
- b) Latestauthenticated Statement of Expenditure including CommittedExpenditure, for expenditure since 1<sup>st</sup> April of that financial year till the previousmonth;
- c) DetailedTechnicalAnnualProgressReportinprescribedformatshowingtables,graphs, photographs along with detailed results and analysis. PIs also need to submitachievedtargetsvsmentionedtimelines.
- d) All the documents including Annual Progress Report, Financial Year-Wise UtilizationCertificate,up-to-dateStatementofExpenditure,etc. shouldbesubmittedthroughemail to the programme officer. **There is no need to submit Hard Copy of thedocuments**.
- xii) The Statement of Accounts and the Utilization Certificates (GFR 12A) should be financialyear wise and are to be submitted within a period of 3 months from the 31st of March ofthat year (i.e. if date of start of the project is 12.01.2022, then the first statement of account and utilization certificate will be for the period 12.01.2022 to 31.03.2022, thenextstatementwillbefortheperiod01.04.2022to31.03.2023andsoon).
- xiii) The institute will not entrust the implementation of the work for which the grant is beingsanctionedtoanotherinstitutionnorwillitdiverthegranttootherinstituteasassistance.
- xiv) For permanent, semi-permanent or infrastructural assets acquired solely from the projectgrants, an audited recording the form of a registering the project from the lastitute. The Institute is required to send to this Department a list of assets acquired from the from the project from the p
- xv) The grant shall not be utilized for construction of any building unless specific provision ismadeforthispurposeinthesanctionorder.
- xvi) All the assets acquired from the grant will be the property of Government of India and should not be disposed-off or or or utilized for purpose other than those for which the grant had been sanctioned, without the prior sanction of this Department.
- xvii) After completion/ termination of the project, the Government of India will be free to sell orotherwise dispose-off the assets which are the property of the Government. The Instituteshall render to the Government necessary facilities for arranging the sale of these assets. The Government of India also has the discretion to gift the assets to the Institute or transferthemtoanyother Institute if it is considered appropriate and justified.
- xviii) For final settlement of the project: Following documents are required for final settlementoftheproject:
  - a) The Utilization Certificate and Statement of Expenditure should show all the actual expenditure including committed expenditure.
  - b) Detailed Project Completion Report showing all the results and analysis along withphotographs,tablesandgraphsinprescribedformat.
  - c) Populararticleofnote morethan 500 words on the work carried out in the project.
  - d) Equipmentretentionletter, if any.
  - e) If there is unspent balance at the end of project then refund of that amount in Consolidated Fund of India (Bharatkosh.gov.in) and submit Bharatkosh receipt.

- xv) This Department reserves the right to terminate the project at any stage if it is convincedthatthegranthasnotbeen properly utilized or appropriate progress has not been made.
- xvi) The Comptroller & Auditor General ofIndia, at his discretion, shall have the right ofaccess to the books and accounts of the Institute maintained in respect of the grant receivedfromtheGovernmentofIndia.
- xvii) ThegranteeinstitutemustensuretheotherprovisionsofGFR2017andguidelines/amendmentsissue dbyGoIfromtimetotime.

### J. ProgressEvaluationandMonitoring:

- i) The PI through the implementing Institute will submit Annual Progress Report of the workcarried on the project on an annual basis (i.e. if the date of start of a project is 01.10.2022the first Annual Progress Report shall be for the period 01.10.2022 to 30.09.2023, the nextwillbefrom01.10.2023to30.09.2024andsoon).
- ii) Inaddition,thisDepartmentmaydesignateScientist/SpecialistoranExpertPaneltovisit
- theInstituteperiodicallytoreviewtheprogressoftheworkbeingcarriedoutandtosuggestsuitable measures to ensure realization of the objectives of the project. The implementingInstitute will provide all facilities to the visiting scientist/ specialist or the Expert Panel bywayofaccommodationetc.atthetimeoftheirvisit.
- iii) ThisDepartmentalsoorganizesGroupMonitoringWorkshopsmeetingswhereinthePIsare invited to present the technical progress of their project. The Investigators should attendsuchworkshopssince itprovides an opportunity toreview their progress. Subsequentreleasesofgrantwouldbebasedonthereviewingandmonitoringcommittees'recommendations.

### $K. \ Guidelines for publication of results and SCOPE\ guard of Intellectual Property Rights:$

- i) Investigators wishing to publish technical/ scientific findings based on the work done underthe project, should acknowledge the assistance received from this Department.Further, it would be highly appreciated if the PI publish these scientific papers emerging out from the project work in peer reviewed journals having impact factor. Sustainability of projects aftercompletion and adoption by society are keyfactors of this particular program.
- ii) The Investigator(s) should not enter into collaboration with a foreign party (individual/industry)withoutpriorapprovalofthisDepartment.
- iii) If the results of research are to be legally protected, the results should not be publishedwithoutactionbeingtakentosecurelegalprotectionfortheresearchresults.
- iv) In the emerging scenario at the National and International level, the Intellectual PropertyRights (IPR) has assumed greater significance. Following are the guidelines which the PlandtheimplementingInstitutionsmustfollowinregardtoIPR:
- a) InstitutionsarerequiredtoseekprotectionofIntellectualPropertyRightstotheresultsofresearchonR&Dp rojects.IPRincludepatents,registereddesigns,copyrightsandlayoutdesignofintegratedcircuits(IC).

- b) IPRgeneratedbypubliclyfundedR&Dinstitutions/academicinstitutions,throughprojects funded by the Government Departments, be owned by the institutions and theywillberequiredtofilepatentapplicationsat theircost.
- c) TheInstitutionscanapproachthePatentFacilitatingCell(PFC)setupbythisDepartmenttoassistthemforp atentsearch,obtainingalistofpatentattorneys,filingof patent application(s) in India and abroad. These institutions will be free to retainexclusivelythebenefitsandearningsarisingoutoftheseIPR.
- d) If the patent is taken by the Institute at its own cost, it is free to transfer the innovation industry for commercialization, after concluding an agreement, as per its laid downprocedures. However, the details of the agreement, amounts received, annual salesturnoveroftheproductshallbeintimated periodically to this Department.
- e) If the institution is unable to file a patent application, within a period of 6 months ofdetermination of filing a patent, this Department in consultation with the PFC and inpublicinterest, mayfileapatentapplication, atits owncost, inthejointnames. Forthispurpose, the institution shall render all assistance to this Department. In such cases , any earning saccruing from transfer and commercialization shall be shared equally by this Department with the Institution and the generator of the Intellectual Property. However, where ever the expected earnings are above Rs. 10 lakhs, the proportion of sharing can be 40% for the institution, 40% for this Department and 20% to the generator of Intellectual Property.
- f) IPR generated through jointly funded projects may be owned jointly by the InstitutionandIndustry. The costtowardsfiling of patentapplication may be sharedby theinstitution and industry as may be mutually decided upon on a case to case basis. TheInstitutionscanalsoapproachthePatentFacilitatingCell(PFC)setupbythisDepartmenttoassistthemf orpatentsearch,obtainingalistofpatentattorneys,filingofpatentapplication(s)inIndiaandabroad.
- g) If the patent is taken jointly by the institution and industry and if the industry hascontributed at least one-third of the project cost, the industry (and its associate) shallhave the first option, to commercialize the innovation, without paying any royalty, withinone year of the completion of the project. The industry shall have exclusive rightforthree years from the date of completion of the project.
- h) Theinstitutionandindustrymaytransferthetechnologytoanotherindustryforcommercialization, on terms and conditions as may be mutually agreed upon, on non-exclusive basis. Any earnings accruing from such a transfer and commercializationshall be shared between the institution and the industry as may be mutually agreed to. The details of the agreement, amounts received, annual sales turnover of the productshallbeintimated periodically to this Department.
- i) TheGovernmentshallhavearoyalty-freelicensefortheuseoftheIntellectualProperty for the purposes of the Government of India and this Department reserves theright torequire the institution and the industry to license others and requires thatanyone exclusively licensed to market the innovation in India must manufacture theproductinIndia.
- j) Theinstitutionmaysharetheearnings, asperits laid downnorms, with the generator(s) of Intellectual Property; provided, the institution sets apart not less than 15% of such earnings for crediting into a fund called Patent Fund. The patent funds hould be utilized by the institution for renewal of the patent, protection of rights against infringements, for creating awareness and building competency on IPR and related is sues and filing of new patents.

- k) Project investigators and research staff working on the project are advised to ensure that they maintain a diary for recording details of each exercise/experiment etc. along with the dates. Such records will be useful for proving/ establishing the claim of first inventor and at times may be useful for proving infringement.
- L. Guidelines/ Instructions for Technology Transfer and Intellectual Property Rights: With a view to encourage the institutions to file patent applications on their innovativework, motivate them to transfer their technologies for commercialization, and to facilitate them to reward their inventors, the following instructions are issued.

#### I. In theseinstructions:

- a) "Institution" means any technical, scientificor academic establishment where the innovative scientific work is carried out through funding by the Central/State Government.
- b) "IntellectualPropertyRights"includepatents,registereddesigns,copyrightsandlayoutdesignofintegrat edcircuits.
- c) "Inventor" means an employee of the Institution whose duties involve carrying outinnovativeworkinScienceandTechnology.
- II. **Scope**: These instructions apply to those institutions receiving funds for research projectsfromtheDepartmentofScience&Technology.
- III. **InventionsbyInstitutions**:InstitutionsshallbeencouragedtoseekprotectionofIntellectual Property Rights (IPR) to the results of research through R&D projects. Whilethe patent may be taken in the name(s) of inventor(s), the institution shall ensure that thepatent is assigned to it. The institution shall get its name entered in the Register of Patentsas the proprietor of the patent. The Institution shall take necessary steps for commercialexploitation of the patent on exclusive/ non- exclusive basis. The institution is permitted toretainthe benefitsandearningsarising outoftheIPR.However,theinstitution maydetermine the share of the inventor(s) and other persons from such actual earnings.Suchshare(s)shallbelimitedto1/3<sup>rd</sup> oftheactualearnings.
- IV. Inventions by Institutions and Industrial concerns: IPR generated through ioint researchbyinstitution(s)andindustrialconcern(s)throughjointeffortscanbeownedjointlybythem as agreed by them through written The mutually to InstitutionandIndustrialconcernmaytransferthetechnologytoathirdpartyforcommercializationonexcl usive/non-exclusivebasis. The third party, exclusively licensed to market the innovation in India, must manufacture the product in India. The joint owners may share thebenefits andearnings arising out of commercial exploitation of the IPR. The institutionmay determine the share of the inventor(s) and other persons from such actual earnings. Such share(s) shall not exceed 1/3 rdoftheactual earnings.
- V. **PatentFacilitatingFund:**TheInstitutionshallsetapartnotlessthan25% of such earnings for crediting into a fund called "**Patent Facilitating Fund**". This Fund shall be utilized by the institution for updating the innovation, for filing new patent

applications, protecting their rights against infringements, for creating awareness and building competency on IPR and related issues.

- VI. **Information**: The institutions shall submit information relating to the details of the patentobtained, the benefits and earnings arising out of IPR and the turnover of the productsperiodicallytotheDepartment/Ministrywhichhasprovidedfunds.
- VII. **Royalty-freelicense:** The Governments hall have a royalty-freelicense for the use of the Intellectual Property for the purposes of the Government of India.
- VIII. Review: These instructions shall be reviewed by the Central Government after a period of five years.
- IX. Theinstructions are issued with the concurrence of the Ministry of Finance, Department of Expenditure vide their OMN o 33(5) PF-II99, dated 22<sup>nd</sup> February, 2000.
- X. Forfurtherinformation/clarificationonthis subject, please contact:

Director

PatentFacilitatingCell

DepartmentofScience &Technology,

TechnologyBhavan,NewMehrauliRoad,

NewDelhi-110016.

The decision of the Secretary, Department of Science & Technology will be final in case of anyviolation of the above-mentioned Terms and Conditions and binding on the PI & respectiveHostInstitution.

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- **1.Energy, Water and Waste Management (EWWM)**: Focusing on Green and renewable energy, Scientific solutions for solid, liquid and biomedical waste management, circulatory, waste recycling, low cost alternative for plastics, industrial toxic effluents, Sustainable building materials, self-sustainable buildings, Green technologies, Green Solutions, Energy efficiency, Energy transport, Solar cells, solar energy, drinking water, water contamination, water purification, Scientific study and mapping of available water sources, Bio hydrogen production technology, Quality control monitoring etc..
- **2.Engineering and Technology Development(ETD)**: Technology focusing on agriculture, health, energy, waste management, astrophysics, materials, weather, AI and Robotics dress and fashion designs and smart textiles, Remote sensing, sustainable development, App development, Digital manufacturing and management, environment, communication, climate change, Quality of life, drudgery reduction, etc. through the AI, ICT tools, Engineering, and Technological solutions.
- **3.Environment, Climate and Sustainable Development** (ECSD): Biodiversity, Ecology, Eco system and service based solutions, Forestry, Carbon smart interventions, Zero carbon emission, Carbon sequestration, Climate change, pollution, sustainable development goals etc.
- **4.Agriculture and Allied Sciences** (**AAS**): Focusing on Crop Improvement, Soil health, Drudgery Reduction, Natural Farming, Seed quality, Organic pesticides, Skill upgradation and livelihood generation for farm women, traditional artisans and Farmers, Scientific Studies and validation on a Tribal Knowledge system, Innovative Packaging Solutions, Innovative Products for Consumers, Low Cost Solutions for farmers, Nutrition garden, Medicinal plants, Microbes in crop protection and production, Innovation in dairy technology and product development, scientific study on diseases and its mapping for livestock health, Natural and Organic Dyes, AI in agriculture, and related scientific solutions in Agriculture and Allied areas.
- 5.Health, Food and Nutrition (HFN): Focusing on Medical Science applications, Telemedicine, Remote monitoring, Precision medicine, Community medicine, Cognitive Science application, Infectious diseases; AI in healthcare, Scientific Study and validation of traditional knowledge of Yoga, Mental health, Women health, Children health and elderly population; innovations to address lifestyle disorders, development of Health Kits, biodrugs. Traditional foods; Nutraceuticals and designer foods; Fortified and value-added food products, millet-based products; fermented foods, bioavailabities of food constituents, food allergens and toxicants, molecular nutrition, food flavors and sensomics, high stability oils, confectionary fats and products, new protein concentrates for pediatric and geriatric population, meat analogs, cultured meat, animal feed, 4D-printed foods, space foods (for astronauts), sports drinks and energy bars, synbiotics, green substitutes of synthetic food additives, techniques to assess food contaminations and adulteration, innovative packaging; food from waste, food security and sustainability, green technologies of food processing, food supplements against oxidative stress related neurological and metabolic

disorders, food analytical method development.

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